



Complaints Handling Policy for CPE

Document Details

Document Owner	Head of Embedded Networks
Document master located	CleanPeak Energy Corporate SharePoint

Version Control

Version	Date	Notes
1.0	30 June 2023	Approved

Issue details

Version	1.0
Status	Approved
Date	30 June 2023

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2 Introduction

2.1 Purpose

CleanPeak is committed to fair, effective and efficient complaint handling. This policy provides guidance to any of our stakeholders who wish to make a complaint regarding our products, services or business practices. It outlines our complaint management system which is intended to:

- enable us to respond to complaints in a timely and cost-effective way;
- boost customer confidence in our processes; and
- provide information that can be used by us to deliver quality improvements in our products, services, staff and complaint handling.

2.2 Risks Controlled under this Policy

The following risks are controlled under this policy:

- Potential for unresolved grievances or dissatisfaction among stakeholders, which can lead to damaged relationships, reputational harm, and diminished trust in the company.

2.3 Responsibilities under this Policy

The CleanPeak Board has overall responsibility for approving this policy.

This policy will be reviewed by the Board on a regular basis and updated as required.

Role	Responsibility	Frequency
CEO	Promote a culture that values complaints and their effective resolution	Ongoing
General Manager, Operations	Establish and manage our complaint management system	Review Annually
Staff whose duties include complaint handling	Demonstrate exemplary complaint handling practices	Ongoing
All staff	Understand and comply with CleanPeak's complaint handling practices	Ongoing

2.4 Definitions

Term	Definition
CleanPeak	CleanPeak includes, but is not limited to: <ul style="list-style-type: none"> • CleanPeak Energy Pty Ltd • CleanPeak District Energy Pty Ltd • CPE Tonsley Pty Ltd • CPE Mascot Pty Ltd • CPE Central Park Pty Ltd • CPE Funding Pty Ltd • CPE Funding No.2 Holdings Pty Ltd • CleanPeak Energy Retail Pty Ltd • CleanPeak Funds Management Pty Ltd
Complaint	Expression of dissatisfaction made to or about us, our products, services, staff or the handling of a complaint where a response or resolution is explicitly or implicitly expected or legally required.
Complaint management system	All policies, procedures, practices, staff, hardware and software used by us in the management of complaints.
Policy	A statement of instruction that sets out how we should fulfil our vision, mission and goals.
Procedure	A statement or instruction that sets out how our policies will be implemented and by whom.

3 Scope & Application

3.1 Scope

This policy provides guidance to any of our stakeholders who wish to make a complaint regarding our products, services or business practices.

3.2 Application

This policy applies to CleanPeak Energy Pty Ltd and all its subsidiaries and related entities, hereinafter referred to as 'CleanPeak', including all staff receiving or managing complaints from customers regarding our products and services.

4 Policy

4.1 Facilitating Complaints

We are committed to seeking and receiving feedback and complaints about our services, systems, practices, procedures, products and complaint handling.

Any concerns raised in feedback or complaints will be dealt with within a reasonable time frame.

People focus – People making complaints will be:

- provided with information about our complaint handling process;
- provided with multiple and accessible ways to make complaints;
- listened to, treated with respect by staff and actively involved in the complaint process where possible and appropriate; and
- provided with reasons for our decision/s and any options for redress or review.

No detriment to people making complaints – We will take all reasonable steps to ensure that people making complaints are not adversely affected because a complaint has been made by them or on their behalf.

Anonymous complaints – We accept anonymous complaints and will carry out an investigation of the issues raised where there is enough information provided.

Accessibility – We make information about how to make a complaint must be easily accessible for a customer, including information on our complaints handling policy.

- We will also provide customers with information on their right to contact the Energy & Water Ombudsman in their State (EWON in NSW or EWOSA in South Australia) at any time for independent advice and information, as well as to complain to the Ombudsman if they are dissatisfied with our handling of their complaint or dispute. The Ombudsman’s contact details are on our website.
- Customers who are deaf, or have a hearing impairment may contact us to make a complaint via the National Relay Service, the following details are also published on our website and on customer bills:
 - TTY users phone 133 677 then ask for **1300 057 405**.
 - Speak and Listen users phone 1300 555 727 then ask for **1300 057 405**.
 - Internet relay users connect to the NRS then ask for **1300 057 405**.
 - For customers who wish to use an interpreter service to make a complaint to us can call TIS National on 131 450. This number is also published on our website and on customer bills.

No charge – Complaining to us is free.

4.2 Responding to Complaints

4.2.1 First call resolution

Where possible, complaints will be resolved at first contact with CleanPeak.

4.2.2 Responsiveness

- We will promptly acknowledge receipt of complaints.
- We will assess and prioritise complaints in accordance with the urgency and/or seriousness of the issues raised. If a matter concerns an immediate risk to safety or security the response will be immediate and will be escalated appropriately.
- We are committed to managing people's expectations, and will inform them as soon as possible of the following:
 - complaints process;
 - expected time frames for our actions;
 - progress of the complaint and reasons for any delay;
 - their likely involvement in the process; and
 - possible or likely outcome of their complaint.

4.2.3 Objectivity and fairness

- We will address each complaint with integrity and in an equitable, objective and unbiased manner.
- We will ensure that the person handling a complaint is different from any staff member whose conduct or service is being complained about.
- Conflicts of interests, whether actual or perceived, will be managed responsibly. In particular, internal reviews of how a complaint was managed will be conducted by a person other than the original decision maker.

4.2.4 Responding flexibly

- Our staff are empowered to resolve complaints promptly and with as little formality as possible. We will adopt flexible approaches to service delivery and problem solving to enhance accessibility for people making complaints and/or their representatives.
- We will assess each complaint on its merits and involve people making complaints and/or their representative in the process as far as possible.

4.2.5 Confidentiality

We will protect the identity of people making complaints where this is practical and appropriate. Personal information that identifies individuals will only be disclosed or used by CleanPeak as permitted under the relevant privacy laws, secrecy provisions and any relevant confidentiality obligations.

4.3 Managing the parties to a complaint

4.3.1 Complaints involving multiple organisations

- Where a complaint involves multiple organisations, we will work with the other organisation/s, where possible, to ensure that communication with the person making a complaint and/or their representative is clear and coordinated.
- Subject to privacy and confidentiality considerations, communication and information sharing between the parties will also be organised to facilitate a timely response to the complaint.
- Where a complaint involves multiple areas within our organisation, responsibility for communicating with the person making the complaint and/or their representative will also be coordinated.
- Where our services are contracted out, we expect contracted service providers to have an accessible and comprehensive complaint management system. We take complaints not only about the actions of our staff but also the actions of service providers.

4.3.2 Complaints involving multiple parties

- When similar complaints are made by related parties we will try to arrange to communicate with a single representative of the group.

4.3.3 Empowerment of staff

- All staff managing complaints are empowered to implement our complaint management system as relevant to their role and responsibilities.
- Staff are encouraged to provide feedback on the effectiveness and efficiency of all aspects of our complaint management system.

4.3.4 Managing unreasonable conduct by people making complaints

- We are committed to being accessible and responsive to all people who approach us with feedback or complaints. At the same time our success depends on:
 - our ability to do our work and perform our functions in the most effective and efficient way possible;
 - the health, safety and security of our staff; and
 - our ability to allocate our resources fairly across all the complaints we receive.
- When people behave unreasonably in their dealings with us, their conduct can significantly affect the progress and efficiency of our work. As a result, we will take proactive and decisive action to manage any conduct that negatively and unreasonably affects us and will support our staff to do the same in accordance with this policy.

5 Complaint management system

When responding to complaints, staff should act in accordance with our complaint handling procedures as well as any other internal documents providing guidance on the management of complaints.

Staff should also consider any relevant legislation and/or regulations when responding to complaints and feedback.

The five key stages in our complaint management system are set out below.

First Call Resolution



Escalated Call Resolution



5.1 Receipt of complaints

We will record the complaint and its supporting information. We will also assign a unique identifier to the complaint file.

The record of the complaint will document:

- the contact information of the person making a complaint;
- issues raised by the person making a complaint and the outcome/s they want;
- any other relevant information; and
- any additional support the person making a complaint requires.

5.2 Acknowledgement of complaints

We will acknowledge receipt of each complaint promptly and preferably within 5 working days.

Consideration will be given to the most appropriate medium (e.g. email, letter) for communicating with the person making a complaint.

5.3 Initial assessment and addressing of complaints

5.3.1 Initial assessment

After acknowledging receipt of the complaint, we will confirm whether the issue/s raised in the complaint is/are within our control. We will also consider the outcome/s sought by the person making a complaint and, where there is more than one issue raised, determine whether each issue needs to be separately addressed.

When determining how a complaint will be managed, we will consider the following:

- How serious, complicated or urgent the complaint is.
- Whether the complaint raises concerns about people's health and safety.
- How the person making the complaint is being affected.
- The risks involved if resolution of the complaint is delayed.
- Whether a resolution requires the involvement of other organisations.

5.3.2 Addressing complaints

After assessing the complaint, we will consider how to manage it, which may include the following:

- Give the person making a complaint information or an explanation.
- Gather information from the product, person or area that the complaint is about.
- Investigate the claims made in the complaint.

We will keep the person making the complaint up to date on our progress, particularly if there are any delays. We will also communicate the outcome of the complaint using the most appropriate medium. Which actions we decide to take will be tailored to each case and take into account any statutory requirements.

5.4 Providing reasons for decisions

Following consideration of the complaint and any investigation into the issues raised, we will contact the person making the complaint and advise them:

- the outcome of the complaint and any action we took;
- the reason/s for our decision;
- the remedy or resolution/s that we have proposed or put in place; and
- any options for review that may be available to the complainant, such as an internal review, or external review to the independent EWON.

5.5 Closing the complaint, record keeping, redress and review

We will keep comprehensive records about:

- how we managed the complaint;
- the outcome/s of the complaint including whether it or any aspect of it was substantiated, and recommendations made to address problems identified and any decisions made on those recommendations; and
- any outstanding actions that need to be followed up.

We will ensure that outcomes are properly implemented, monitored and reported to the complaint handling manager and/or senior management.

5.6 Alternative avenues for dealing with complaints

We will inform people who make complaints to or about us about any internal or external review options available to them.

6 Accountability and learning

6.1 Analysis and evaluation of complaints

We will ensure that complaints are recorded in a systematic way so that information can be easily retrieved for reporting and analysis.

Regular reports will be run on:

- the number of complaints received;
- the outcome of complaints, including matters resolved at the frontline;
- issues arising from complaints;
- systemic issues identified; and
- the number of requests we receive for internal and/or external review of our complaint handling.

Regular analysis of these reports will be undertaken to monitor trends, measure the quality of our customer service and make improvements.

Both reports and their analysis will be provided to the CEO and senior management for review.

6.2 Monitoring of the complaint management system

We will continually monitor our complaint management system to:

- ensure its effectiveness in responding to and resolving complaints; and
- identify and correct deficiencies in the operation of the system.

Monitoring may include the use of audits, complaint satisfaction surveys and online listening tools and alerts.

6.3 Continuous Improvement

We are committed to improving the effectiveness and efficiency of our complaint management system. To this end, we will:

- support the making and appropriate resolution of complaints;
- implement best practices in complaint handling;
- recognise and reward exemplary complaint handling by staff;
- regularly review the complaints management system and complaint data; and
- implement appropriate system changes arising out of our analysis of complaints data and continual monitoring of the system.